



ES PATENT AND TRADEMARK OFFICE

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APPLICATION NUMBER

FILING/RECEIPT DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

09/972,743

10/05/2001

John Flygare ORIGINALLYFILE

0187810-18230

20350

TOWNSEND AND TOWNSEND AND CREW TWO EMBARCADERO CENTER **EIGHTH FLOOR**

SAN FRANCISCO, CA 94111-3834

CONFIRMATION NO. 5345

FORMALITIES LETTER

OC000000006969912*

Date Mailed: 10/25/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

COPY OF THE

03/19/2002 BABRAHA1 00000132 201430

09972743

FILED UNDER 37 CFR 1.53(b)

01 FC:101 02 FC:105 03 FC:103 740.00 CH 130.00 CH

1332,60 CH

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing. Applicant must submit \$ 740 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$1332.
 - \$1332 for 74 total claims over 20.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(I) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 2202.

A copy of this notice <u>MUST</u> be returned with the reply.

Customer Service Cente

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE





PTO/SB/21 (08-00)

Under the Paperwork	Reduction Act of 1995, no	o persons are re	equired to re	espond to a collection of infon	mation unless it displays a valid OMB control number.		
FORM			Applic	ati n Number	09/972,743 October 5, 2001		
			Filing	Date			
18 ' 5 F	ORM		First N	Nam d Inv nt r	Clark, David L.		
(to be used for all col	rrespondence after ir	nitial filing)	Group	Art Unit	1624		
ENT & TRADE			Exami	ner Name			
Total Number of Page	s in This Submission		Attorne	ey Docket Number	018781-001823US		
·		ENCLO	DSURES	(check all that apply)			
Fee Transmittal F	orm		ment Pap		After Allowance Communication to Group		
Fee Attached		☐ Drawin	g(s)		Appeal Communication to Board of Appeals and Interferences		
Amendment / Res	Licensi	ng-relate	d Papers	Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)			
After Final		Petition Routing Slip (PTO/SB/69) and Accompanying Petition			Proprietary Information		
Affidavits/declaration(s)		Petition to Convert to a Provisional Application			Status Letter		
Extension of Time Request		Power of Attorney, Revocation Change of Correspondence Address			Other Enclosure(s) (please identify below):		
Express Abandonment Request		☐ Terminal Disclaimer ☐ Request for Refund			Return Postcard		
☐ Information Discle	☐ Information Disclosure Statement		mber of	•	Copy of Notice to be returned		
Certified Copy of Document(s)	Certified Copy of Priority		The Commissioner is authorized to charge any additional Deposit Account 20-1430.				
Response to Missing Parts/ Incomplete Application			<u> </u>				
Response to Missing Parts under 37 CFR 1.52 or 1.53			, · · · -				
	SIGNA	TURE OF	APPLIC	ANT, ATTORNEY, O	R AGENT		
Firm	Townsend and To						
and Individual name	William B. Kezer			Reg. No. 37,369			
Signature	Signature LM B. Ky						
Date	3.06.0	_	د				
		CE	RTIFICA	TE OF MAILING			
I hereby certify that the class mail in an enve	nis correspondence i lope addressed to: A	s being depo Assistant Con	sited with	n the United States Post er for Patents, Washington	al Service with sufficient postage as first on, D.C. 20231 on this date: 3/6/02		
Typed or printed nam	ne Jennifer K. H	ardin					
Signature	Yens	refor ,	11. Hardi Date 3/6/02				

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be send to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

PTO/SB/17 (09-00)

Approved for use through 10/31/2002. OMB 0651-0032

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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CEEE TO AMONUTE AL	Complete If Known					
PE FEE TRANSMITTAL	Application Number	09/972,743 COPY OF PAPEHS	EHP .			
of 15 mg for FY 2001	Filing Date	October 5, 2001				
70 /k . <u>%</u> /	First Named Inventor	Clark, David L.				
Patent fees are subject to annual revision.	Examiner Name					
Patent fees are subject to annual revision.	Group Art Unit	1624				
TOTAL AMOUNT OF PAYMENT (\$) 2992	Attorney Docket No.	018781-001823US				

	METHOD OF	PAYMENT			-		FEE C.	ALCULATION (continued)	
₁ 🗵		is hereby authorized		3. ADD	ITIONAL	FEES			
1.	indicated fees and	credit any over paym	ents to:	Large	Entity	Small	Entity		
Deposit [Fee	Fee	Fee	Fee	Fee Description	Fee
Account	20-1430			Code	(\$)	Code	(\$)	·	Paid
Number				105	130	205	65	Surcharge - late filing fee or oath	<u> </u>
Deposit				127	50	227	25	Surcharge - late provisional filing fee or cover sheet.	
Account	Townsend and Tow	vinsend and Crew LLF	•	139	130	139	130	Non-English specification	
Name _				147	2,520	147	2,520	For filing a request for reexamination	
	Additional Fee Requi R 1.16 and 1.17	ired		112	920°	112	920*	Requesting publication of SIR prior to Examiner action	
Applicant clai See 37 CFR	ims small entity statu 1.27	us.		113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
2. 🔲 Paymenti	Enclosed:			115	110	215	55	Extension for reply within first month	
☐ Check ☐	Credit card	☐ Money ☐ ·	Other	116	400	216	200	Extension for repty within second month	
	555.041.011			117	920	217	460	Extension for reply within third month	920
1. BASIC FILIN	FEE CALCU	ILATION		118	1,440	218	720	Extension for reply within fourth month	
Large Entity Sm				128	1,960	228	980	Extension for reply within fifth month	
Fee Fee Fee	•	Description		119	320	219	160	Notice of Appeal	
Code (\$) Cod		•	Fee Paid	120	320	220	160	Filing a brief in support of an appeal	
101 740 201	1 370 Utility	filing fee	740	121	280	221	140	Request for oral hearing	
106 330 206 107 510 207		gn filing fee filing fee		138	1,510	138	1,510	Petition to institute a public use proceeding	
108 740 208		sue filing fee		140	110	240	55	Petition to revive – unavoidable	\vdash
114 160 214		sional filing fee		141	1.280	241	640	Petition to revive – unintentional	
		olorica tilling 100	L	142	1,280	242	640	Utility issue fee (or reissue)	
	SUBTOTAL (1)		(\$)740	143	460	243	230	Design issue fee	
0 EVED 4 01 404 E				144	620	244	310	Plant issue fee	
2. EXTRA CLAIM F	Extra	Fee from	Fee	122	130	122	130	Petitions to the Commissioner	
Total Claims 94	Claim -20** = 74	ns below	Paid = \$1,332	123	50	123	50	Petitions related to provisional applications	
Independent Claims 3	-3** = 0	X \$84	= \$0	126	180	126	180	Submission of Information Disclosure Stmt	
Multiple Dependent	J	x	=	581	40	581	40	Recording each patent assignment per property (times number of properties)	
	Small Entity			146	740	246	370	Filing a submission after final rejection (37 CFR § 1.129(a))	
Code (\$) C	Code (\$)	ee Description laims in excess of 20		149	740	249	370	For each additional invention to be examined (37 CFR § 1.129(b))	
	-	dependent claims in (excess of 3						
· ·		ultiple dependent clai		179	740	279	370	Request for Continued Examination (RCE)	
	209 42	Reissue independen		169	900	169	900	Request for expedited examination of a design application	
110 18 2	210 9	Reissue claims in ex er original patent	cess of 20 and	Other fe	e (specify	/)			
		OTAL (2) (\$)1332			mmission			ed to charge any additional fees to unt.	
	230.0	(4)(602			ed by Ba				
**or number previously	y paid, if greater; For F	Reissues, see above		<u> </u>					

SUBMITTED BY				C	omplete (if applicable)
Name (Print/Type)	William B. Kezer	Registration No. (Attorney/Agent)	37,369	Telephone	925-472-5000
Signature	1/-	A B. Kyn		Date	3-6-02

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